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#### Section 1:

#### What is the general information about this Policy?

The general information about this policy follows below and includes relevant policies that also apply.

## What other Policies are relevant to this Policy?

All Nursery Policies and procedures are relevant to this Policy.

## What is the policy statement?

We endorse this statement from the EYFS: "The quality of the provision depends on the suitability of the adults providing day care. Ofsted makes a judgement about the suitability of all registration applicants and registered persons. Responsibility for deciding on the suitability of other prospective staff rests with the registered person."

#### What is the staff responsibility for this policy?

Managers have responsibility for monitoring this Policy. The Nursery Director oversees any issues that may arise or clarifications which may need to be made. Team members have a duty of care to comply with this Policy.

#### Who does this policy apply to?

dents,



parents, carers, early year's professionals, authorised collectors, specialist teachers and all other visitors.

## Who is responsible for monitoring this policy?

Nursery Managers have responsibility for implementing this policy at all sites in the company at all times. Coordinators have responsibility for occasional audits.

## What is the procedure for policy review?

This policy will be reviewed periodically. Reviews may be required as a result of research, statutory changes in child care regulations, children's needs, parental consultation, police advice or suggestions from courses attended by staff. The Nursery Director is responsible for review.

#### What do we do if relevant law or the requirements for Nurseries changes?

If relevant law changes, or the requirements or guidance for Nurseries change we update Policies. We always seek current advice as we from our legal advisors Bin cases relating to staff conduct, employment law or rights, staff expectations, and any other similar queries. The Nursery will follow all legal requirements at all times. It is the Nursery Manager's responsibility to ensure the correct action is discussed with the Nursery Director and then implemented accordingly.

#### Section 2:

# What are the aims, remit and responsibilities of this Policy? What are the aims of the policy?

This policy ensures the nursery upholds this statement from the Early Years Foundation Stage (EYFS): "Providers must ensure that adults looking after children, or having unsupervised access to them, are suitable to do so" and "....are suitable to fulfil the requirement of their roles". The purpose of this policy is to ensure that all staff understand their obligations, expectations, job role, attendance requirements, conduct expectations and what to do in the event of absence, ill health or any concern. The Policy also details the induction procedure for new staff. The Policy aims to support the fact that all staff have a contractual and moral duty to consider the children's interests as paramount, as well as a legal duty within The Children Act 1989 which states, "Welfare of the child is paramount."

#### Section 3:

## What about staffing?

#### What qualifications and attributes do staff require?

Along with those detailed in individual staff job descriptions, staff require the following:

- Qualified staff require suitable training, qualifications and experience as defined by Ofsted which changes periodically.
- A passion for working with young children.
- A commitment to provide outstanding quality of care.
- A commitment to safeguarding children and working with others to ensure that children are safeguarded and protected at all times when in the nursery.
- A clear understanding of Nursery Policy in how it relates to their role.
- The ability and commitment to implement Nursery policy.
- The ability to work successfully as a member of a team.

Date updated: January 2020.



- The potential to be trained and for personal development.
- Outstanding communication skills.
- Outstanding record keeping skills.
- An outstanding understanding of safeguarding and Prevent Duty.
- An active DBS.
- A minimum of three positive written references. Four references are required for Managers and Deputies, unless they have had very long period of time in their most recent workplaces. The most recent employee must provide a reference.

#### How do we select staff?

Throughout the process Nursery Managers must adhere to our Diversity Policy. Potential staff are selected through interview, appraisal of employment history, qualifications, identity checks, DBS checks, proof of right to work in the UK and references. All original certificates must be seen, and a new DBS check applied for even if the applicant is on the DBS update service. Copies of all staff records will be held on file. Potential staff are invited to complete a trial, longer trails are required for seniors. During a trial qualities can be accurately assessed. Potential staff are advised verbally and must complete declaration forms regarding convictions and cautions that may affect suitability to work with children. Failure to do this is breach of contract and can lead to summary dismissal. The Nursery requires 3 written references from email accounts which show the name of the Nursery or institution within the email address. An example of a highly questionable reference is apersonsname@yahoo.co.uk This must be discussed with the Nursery Co-ordinator and referred to the Nursery Director. Managers must call each of the referees and note the name of the person spoken to, date and time of the double check and make brief notes on the reference of the conversation. Managers must also ask all referees about safeguarding. Some companies or nurseries, refuse to divulge safeguarding information. This may be considered as a flag unless it is known to be their policy. However, even if this is the particular company policy, this must be considered in the light of other references for the same person and ongoing working in the Nursery.

#### What about DBS checks?

New Team members will apply for a new a DBS check even if they are on the update service. A DBS check must be underway before an employee starts at Nursery. All employment offers are subject to DBS clearance and other suitability checks. The Company acknowledges that delays to DBS checks can happen, and are not the fault of the employee or Company. A risk assessment needs to be undertaken if the Team member is not on the update service. Members of staff without a check must never be left alone with a child, change nappies unsupervised, take part in any personal care routines, be in the bathroom alone with children, or become a Suitable Person. All other team members must be made aware of this to ensure they never leave team members without a DBS alone. It is the responsibility of the Nursery Manager to ensure that all long serving staff renews their DBS's every three years even when a new staff member is part of the DBS update service. Occasional audits may be undertaken. The company always applies for new DBS's for enw team members even if they are on the update service.

## How does the EYFS define a Suitable Person?

The EYFS states "providers must ensure that people looking after children are suitable to do so and fulfil the requirements of their roles".

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In addition to this, it also defines the following requirements:

- "The Manager must hold a full and relevant Level 3 qualification."
- "Have at least two years experiences in an early years setting, or have at least two years other suitable experience."
- "The provider must ensure there is a named deputy who, in their judgement, is capable and qualified to take charge in the manager's absence."
- "At least one person who has a current paediatric first aid certificate must be on the premises and available at all times when children are present, and must accompany children on outings."

#### How does the Company define a CF Suitable Person?

The CF Suitable Person here is defined by Children-First.info and is different to that defined by the EYFS. The company require more checks and suitability than the EYFS definition. For the purpose of best practice the role is called a CF Suitable Person. The company uses the definition of a CF Suitable Person to identify a senior staff member who has additional responsibilities to be left in sole-charge of the Nursery. This is usually the Nursery Manager, Deputy Nursery Manager, and Third-in-charge, but can also be extended to other senior staff members if they are thought capable and qualified.

To be a CF Suitable Person, the staff member must meet the following requirements:

- Be a 'senior' staff member in the nursery.
- Hold a full and valid paediatric first aid certificate.
- Have a clear and active DBS check.
- Be qualified to a minimum of a Level 3 in early years or other suitable qualification as defined in the EYFS.
- Pass a Suitable Person interview with a Nursery Manager.

If a CF Suitable Person has a DBS underway but it is not yet returned, then they are unable to be left in charge of any Nursery or alone in a room or with children. Other aspects of their job role may be continued, such as a Room Leader role, with due regard to the Children-First policy on staff who are not yet DBS cleared and a risk assessment.

#### What about staffing in the Nursery?

The Company follows the EYFS staffing requirements for all nurseries a minimum. This states that "the Nursery Manager must hold at least a full and relevant level 3 qualification, there must be one level 3 staff member in each group room and at least half of all other staff must hold at least a full and relevant level 2 qualification". There must always be at least one member of staff on duty who is a CF Suitable Person and meets the requirements. Occasionally a staffing audit will be undertaken. An annual review of staff, pay, bonuses, qualifications and training ensure that the nursery has an ongoing development process in place. This process is linked to meetings during the year and the twice yearly appraisal process. On gaining a new qualification staff enjoy a pay review.

#### What is a probationary period?

The probationary period is defined in the employment contract. It is usually six months. If the Nursery has concerns in relation to an employee it can be extended by agreement with the Nursery Manager and the Company Director. Performance reviews should be completed at 1 month, 3 month and 6 months or more frequent reviews or supervisions if

quests this. Targets and Personal Improvement Plans (PIP's) are always set in all meetings. Probation can be extended subject to legal advice. In the event of a probation being extended Managers must set clear targets for improvements and a PIP. A deadline must be set and probation reviewed again. Advice is always taken from our employment solicitors.

#### Can team members care for children out of hours?

Staff at the nursery are entitled to care for children attending the nursery out of hours, providing it does not conflict with Nursery duties or relationships. This is not a requirement of staff, but a voluntary agreement entered in to between the member of staff and the parents involved. All arrangements of this kind must be made out of the Teams members shift pattern. The Nursery accepts no responsibility or liability for these arrangements, what happens within them, or the individual requirements of the children during these times. Staff are not permitted to take children into their personal car unless Nanny Insurance has been purchased, a copy of which must be kept in the Nursery and updated annually or each and every time the policy expires. There are no exceptions to this instruction. An 'Out of Hours Agreement' form must be signed by the employee, child's parents, and Nursery Manager beforehand. This can be found in the Parent Partnership Policy. Staff may not care for children out of nursery hours at any time if the care or relationships conflict with the interests of the Company, its children, other members of staff, or Children-First. Any such concerns must be brought immediately to the attention of the Nursery Manager so that a decision can be made as to whether there is a conflict of interests. The Company Director must be informed of all concerns. Where there has been a conflict of interests, staff are not permitted to baby sit. If the conflict continues then an investigation and disciplinary proceedings may follow. In all cases, should such a situation arise, the disciplinary procedure will be followed.

#### Section 4:

## What responsibilities do different team members have?

## How does each team member know their responsibilities?

All team members have a contract and clear job description which lays out their personal responsibilities in detail. Senior staff also have clear responsibilities and contracts.

#### What responsibilities do unqualified staff have?

Unqualified staff are responsible for working with the children, getting to know the children, staff and parents, participating fully in nursery activities, developing their skills and learning about the Nursery polices and procedure through the induction process. Unqualified staff must not have responsibility for a key group until they have completed their probationary period. Unqualified staff must never be left with children even if they have a clear DBS unless in a complete emergency or whilst suitable staff are arriving to cover due to unforeseen circumstances. If employed as an apprentice, they must begin professional training during their probationary period once inducted into Nursery. Apprentices are employed in collaboration with a training provider. Failure to hand in work or complete the course will also affect employment by the Nursery and usually leads to further Supervisions or Appraisal of the apprentice and potentially disciplinary action and termination of contract.

#### What responsibilities do new staff have?

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and probationary process. New staff must not be left alone with children until the Nursery Manager deems them competent. New Team members will have a key group after completing their probation or sooner at the discretion of the Nursery Manager. Formal reviews of probationary reviews are conducted by the Manager or Deputy Manager, there may also be supervisions resulting in a PIP.

#### What responsibility do Key Workers have?

Key workers have a responsibility and duty of care to ensure next steps are planned and delivered and children's records are properly maintained. Full job descriptions are in each team members contract.

#### What responsibilities do Lead practitioners have?

Lead practitioners will have roles agreed with their Nursery Manager or the Nursery Co-ordinator. Lead practitioners are usually required to do extra training linked to their responsibilities.

# What qualifications and skills does a Nursery Manager or CF Suitable Person have to have?

A Suitable Person will have an interview with their Nursery Manager or a Nursery Coordinator to ensure their suitability status and compliance with the points below. Suitable skills and qualifications are:

- Fully understanding the EYFS Statutory Requirements, as well as any other requirements by Ofsted.
- Being able to implement the EYFS statutory requirements and Nursery policies.
- Being able to fulfil the job description of Nursery Manager.
- Relevant training as defined by the EYFS statutory requirements.
- Relevant suitable qualifications as decided by EYFS statutory requirements.
- Relevant experience with a minimum of two years in a senior role previously.
- Skills in communication, decision making, prioritising and managing.
- Ability to make decisions about the suitability of any staff or volunteers.
- An outstanding understanding of all Children-First Policy and procedures.

#### What responsibilities do Managers have?

Each Manager is responsible for reaching and maintaining an Ofsted Outstanding Nursery. Managers take overall responsibility for the safe, smooth and effective running of the Nursery and getting the best outcomes for children possible. Managers are responsible for building and motivating teams. Managers are the lead suitable person and Designated Safeguard Lead in the setting. Monthly budgetary management is Managers responsibility. Fiscal responsibility is a requirement. The Manager, Nursery Coordinator or Provider are solely responsible for job offers and screening staff. No other team member can offer employment.

#### Section 5:

# What does induction mean and how does it happen? What is Induction?

New staff should be inducted following recruitment. Internal Team members changing role also need to go through an induction process regarding new responsibilities.

Date updated: January 2020.

role,



responsibilities, Nursery Policy and procedure. This part of the policy covers the following areas:

- Starting work and induction policy for new cover, part time and full time staff.
- Induction policy for temporary staff.
- Starting placements induction policy for students.

For the purposes of this policy temporary is defined as up to and including 20 working days. Any person employed on a part time permanent basis or part time absence cover basis must be inducted according to the full time induction policy and the Nursery.

## Who is responsible for the starting work and induction policy for new staff?

Nursery Managers are responsible for this policy. If the Nursery Manager is absent, then the responsibility falls to the next most senior staff member on duty. Nursery Co-ordinators are responsible for inducting Managers. Each nursery has an induction co-ordinator who will support new team members through their induction process alongside the manager.

## What happened before a new part time cover or part time team member starts work?

All new Team members will have been shown around the Nursery after their first interview and during their trial period. They will have met some of the Team and been given some information. Job descriptions within employment contracts, links to Policies on the website, Starters Forms etc, should be sent by email as soon as possible after the job offer is made. Copies of paperwork that needs to be returned can be sent by post or dropped in. If the employee does not have access to a printer they can collect paperwork or we can post it. Contracts must be signed and filed. New DBS's must be applied for even if the worker has the Update Service.

There must be two copies of employment contracts for each employee. The employee keeps one copy of their contract and job description, and the other is returned to the Nursery Manager to file in the employee staff file. Both copies must be signed by both parties. Managers sign on the behalf of Children-First.

All staff are informed of the new Team members DBS status through instructions from the Nursery Manager, and on the weekly staffing rota which must detail an employee's qualification and DBS status. The Room Leader of each room must know each Team members staff suitability of in their room; including cover staff if they are placed to work in their room that day. If the new team members DBS is still processing a risk assessment must be completed by the Nursery Manager.

# What needs to happen before the first day of work for a new team member as part of induction? (PRE-START)

New workers must visit for about half a day prior to their start. Additional information given will vary upon job role and be Nursery specific. The detailed Induction and Staff Training Schedule forms part of this policy and must be used for induction. Time worked will be paid for. All staff must be informed of the following procedures during their pre-start visit:

- A link to Children-First website for policies should be sent out to new team members before starting at Nursery by email.
- Policies must be read and all questions noted and ask during the pre-start visit.
- Links to online training will be sent out to new team members and the certificates for this can either be printed at home or sent to Nursery for printing. Managers

first day of employment or during their pre-start.

## What must happen during a pre-start visit?

Staff must be informed of the following procedures during their pre-start visit:

- Policies are discussed and questions discussed. The sign off sheet is signed off.
- Fire procedures, pointing out exits, firefighting equipment, & evacuation instructions.
- DBS clearances, personal care routines, lone working, nappy changing.
- New staff must not open the entrance door, handover children, or release the door under any circumstances.
- Nursery security which is site specific.
- Mobile phones policy.
- Maintaining ratios.
- Nursery presentation standards.
- Children's allergies and/or dietary requirements list.
- Walk around nursery premises, with the Nursery Manager pointing out key safety points, such as safety gates, cupboard locks, etc.
- Personal social networking sites guidelines.
- Risk assessments.
- Training on line and policy questions and/or questionnaires.

There must be a timed planned fire evacuation practice to be co-ordinated by the Nursery Manager or Deputy. This will ensure familiarity with procedures. Team members will be paid for the pre-visit and training time if the new employee can stay longer.

# What is the induction procedure for new Managers?

There is an induction procedure for all new Managers. Additional information given will vary upon aspects of the job role and be Nursery specific. The detailed Induction and Training Schedule procedure within this Policy must be used for induction. Managers will be inducted by the Coordinator, where possible. The Coordinator will be present at the Nursery for the first 2 weeks, or the new manager will be present at another nursery for the first 2 weeks, or a combination of both.

#### What is the induction procedure for temporary staff and agency cover?

For the purposes of this policy temporary is defined as working at the nursery over 30 days. The policy for new staff will apply to any person who we intend to employ for longer than four weeks. It is the responsibility of the Nursery Manager or most senior member of staff on duty to deliver induction. Permanent staff must be informed whether temporary staff have DBS clearance. Agency Staff must have DBS and proof of identity checked before starting work with the children.

Temporary staff and agency cover induction must be delivered in the following areas:

- Safeguarding and child protection policy
- Fire procedures, pointing out exits, firefighting equipment, & evacuation instructions
- Temporary staff must not open the entrance door, handover children, or release the door under any circumstances.
- Nursery security which is site specific.
- Mobile phones policy must be kept in phone lockers monitored by CCTV.
- Physical contact and behaviour management.

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- Nursery presentation standards.
- Children's allergies and/or dietary requirements.
- Walk around nursery premises, with the Nursery Manager pointing out key safety points, such as safety gates, cupboard locks, etc...
- Temporary staff must not be left alone with children

Whilst showing the temporary person around the Nursery, a general job description and requirements should be defined. Within the Nursery all other conduct requirements and procedures apply to temporary staff. Permanent staff should be informed as to whether a temporary member of staff has appropriate DBS and qualifications. Temporary members of staff must not administer medicine, or act in loco parentis in an emergency.

## What is the induction procedure for students?

The student placement officer is responsible for the Induction of students and therefore the implementation of this policy. Where possible, the Room Leader of the student's room should be their mentor. Students must be shown around the nursery in the same way a new staff member would be. Students should be sent the link to Children-First website to get a feel for the Nursery and read the Polices. Managers must create students staff files with the required documents.

#### Students must:

- Be inducted into Policies in the same way as Team members.
- Understand student placement expectations.

The following procedures must be explained to students and unqualified staff. They must not:

- Administer medicine or first-aid.
- Be alone with a group or individual child.
- Take part in any personal care routines for children, such as changing clothes, nappies, etc. unless supervised as part of their learning requirements by a CF Suitable Person.
- Open the entrance door or handover children.
- Be responsible for managing children who have dietary requirements or allergies.
- Use mobile phones whilst working.
- Use the Nursery cameras unless used under strict supervision by a CF Suitable Person.
   The camera or memory card must never be taken off the premises.

At the discretion of the Nursery Manager, students may have the opportunity for paid employment in the Nursery when required to make up ratios, holiday or absence cover etc. If this is to occur, relevant employment checks must take place as per standard new employee procedures. All relevant checks will be carried out as per recruitment procedure. In this case the student must be treated as if they are a new employee and must be followed.

#### Section 6:

# What is the attendance and absence procedure?

# What days are included in the absence?

Throughout this document, days of absence include any Bank Holidays, weekend days, or religious holidays which may fall within a sickness period. Periods of absence are also logged when a member of staff may go home during the day for an unforeseen reason.

Date updated: January 2020.



logged as time off in lieu (TOIL) or as unpaid.

## What procedure must a team member do on the first day of sickness?

On the first day of absence the Nursery must be contacted before 7:30 a.m. regardless of shift start time. The exception to this is if the employee is due to start their shift at this time, in which case they must call the nursery by sooner. A phone call from relative, partner, spouse or friend is not sufficient unless the employee is hospitalised or in medical care. If possible the estimated length of absence should be stated. The Nursery must be informed by 3 p.m. as to whether absence will continue for the following day. Sickness must not be reported via email, text message, or any other method of communication. Failure to follow these procedures may result in disciplinary action.

## What must a team member do on the third day of sickness?

A doctor's certificate will be required for the third and subsequent days. The certificate must state the condition of sickness and a return to work date. The Nursery will reimburse any reasonable charge made for the certificate.

## What must a team member do on the fourth day and onwards of sickness?

A statutory sick certificate must be obtained from a medical professional. There is no charge for this. This will state a return to work date. Further certificates must be obtained if absence continues beyond the date covered by an initial certificate. Copies of certificates must provisionally be emailed to the nursery on the day of issue if the individual, or their representative, is not able to bring it to the Nursery. Upon returning to work the original certificate or certificates be provided on the day of return.

#### What happens when a team member returns to work?

An informal interview will be undertaken by the Nursery Manager. The return to work form will be completed. A record will be kept. This will enable any concerns to be raised, planning to be made and management analysis to be undertaken. A copy will usually be given to the employee.

#### What happens when there is regular or frequent absence?

The average sick absence in the UK was 4.1 days according to 2017 Office for National Statistics. If the Nursery Manager have concerns over a Team members absence a Supervision meeting will be held and a PIP completed. Absence totalling more than ten or more days occasional days or fifteen certificated days will result in a letter to being written to the Doctor to request information relating to underlying causes. Any charges for this letter will be covered by the Company. Appropriate action will be decided in line with legal advice. Nursery Managers will be responsible for keeping individual staff attendance records up to date to evaluate team member's absences.

#### Can the Nursery require team members to have a medical examination?

The Nursery may at any time require a member of staff who is unable to complete their duties fully, as a consequence of absences, to undertake a medical examination by a qualified medical practitioner. This is to see if there are any underlying causes of ill health. All staff have signed this agreement at the time of employment within their employment contracts. As far as possible, appointments will be made during the opening hours of the Nursery. Public transport travel costs will be met by the Nursery.

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#### What do team members have to do whilst sick?

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Staff that are unfit to work in the nursery are considered to be involved in rest and recuperation. Staff are not allowed to babysit, look after children from Nursery, be on Holiday, enjoying days out, complete driving test or have interviews during sick leave. Staff who are found to be completing duties which contradict their reason for absence will be invited to a meeting to discuss this during an investigatory meeting.

#### What about other absence?

Children-First does provide other leave.

#### Does the Nursery give maternity and paternity leave?

All statutory regulations will be provided for. The Nursery and the person on leave will follow current guidelines relating to communication in order that adequate planning is made. The Nursery will ensure that the employee has a copy of the current guidelines. The Manager will consult with the Company legal team for confirmation on an employee's rights and obligations where a query may occur. This may include leave for adoption, fostering, IVF, shared parental leave, and any other similar leave and keeping in touch days.

#### What happens if a team member is called for jury service?

The Nursery Director must be informed of any request for a member of staff to complete Jury Service. A decision may be made to apply to the court to defer on cancel. This is dependent on child and nursery requirements and the need for CF Suitable Persons. All statutory regulations will be implemented. Costs will be claimed from the court by the juror to offset against salary costs. The Juror must inform the Nursery as soon as they are released from service and are required to return to work. The Juror is required to inform the Nursery if they are sitting on a case which is expected to exceed their service period.

#### Can team members ask for compassionate or special leave?

Leave must be requested in writing or by email. The Company Director and Nursery Manager will give sympathetic consideration to a request for absence for work on compassionate grounds or family grounds. Grounds may include bereavement, funeral, or serious illness of a very close friend or immediate relative or dependant e.g. father, child, sister, or husband. Each request will be considered on its own merits without recourse to precedent. Leave will be granted without pay.

#### How much annual leave do team members receive?

Annual leave is granted according to contract. In the first and final years' service leave will be granted pro-rata based on the period worked in the year of leaving. Annual leave will be granted when other members of staff are in attendance to ensure adhesion to childcare legislation. Staff members will not normally be able to take more than two consecutive weeks at any time. Special consideration may be given for special circumstances without recourse to precedent.

#### How does taking leave affect Manager?

Nursery Managers and Deputy Managers must not be absent at the same time. Managers across the company must not be on leave at the same time. This will be co-ordinated

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added to the managers annual leave calendar.

#### Will Children-First give unpaid leave?

All leave must be requested in writing. In certain personal circumstances unpaid leave can be requested and granted. The Nursery Manager and Nursery Director will give sympathetic consideration to such requests. Permission may be granted, with due consideration to the requirements of childcare legislation. Any request will be considered on its own merits without recourse to precedent. Alternatives to unpaid leave will be considered at the request of the employee may be granted without recourse to precedent.

## Does Children-First allow emergency leave?

There will be occasions when written or email requests for emergency leave will be considered by the Nursery Manager. The circumstances surrounding the request will be examined with the employee on their return to work. In the case of a possible reoccurrence a pro-active or contingency plan will be made with the employee. Emergency leave will be classed as annual leave or unpaid leave on the basis of a written request which will be decided on sole discretion of the Manager, on a case-by-case basis. Each request will be considered on its own merit without recourse to precedent.

#### What happens when a team member takes unauthorised absences?

Unauthorised leave is a breach of contract and is therefore is a matter for investigation, disciplinary consideration and action. Failure to notify the nursery of absence is considered unauthorised, including failure to follow the Company sickness procedure.

#### Do team members receive time off in lieu (TOIL)?

Overtime worked by an employee will be logged as Time off in Lieu (TOIL), and must be recorded on the correct form. TOIL must be requested and agreed by the Manager on duty before it is worked. TOIL is taken at the convenience of the Nursery. Requests to the Nursery Manager for TOIL, will be considered in the light of statutory childcare requirements. Time in lieu cannot be accrued and taken as annual leave. Time in lieu must be reflected in the nursery registers and approved by Managers.

#### What happens when a team member is late?

Absence due to lateness must be deducted from TOIL or breaks. Persistent lateness is a disciplinary matter. Where TOIL is not available deductions from pay will be made.

#### What is absence whilst performing Nursery duties?

There are times when members of staff, particularly senior members of staff, are required to perform Nursery duties outside the nursery premises, such as shopping for food or resources. Staff must carry out these duties efficiently and return to the nursery as soon as these duties are complete. Absence from Nursery premises in such circumstances is not considered absence from work.

#### Is there any other leave that can be taken by team members?

Requests must be made in writing. Leave will be considered in the light of current statute. Any other leave considered by the Nursery Manager and not covered directly by this policy will be considered as a unique request. Any leave granted whether paid or unpaid

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the Company Director.



## Are team members allowed to carry over annual leave?

Carrying over leave presents problems in coordinating staffing in the Nursery and maintaining continuity for the children. Nursery Managers and Deputies coordinate their leave through the Nursery Coordinator to ensure that only one Nursery Manager or Deputy is absent at one time. For Team members who have 28 days leave, this must be taken during the year as 28 days is the statutory minimum, which is pro-rata for part time staff.

#### Can team members take time off during working hours for medical appointments?

Hospital and medical appointments in connection with a current pregnancy can be taken and will be paid according to legal requirements. For appointments for IVF treatment, legal advice must be sought. Proof of appointments must be given to the Nursery Manager before the appointment is attended, such as an appointment card, text or letter. All appointments for other treatment should be made outside working hours. Unpaid leave or TOIL may be allowed for other medical appointments. Requests for leave to attend appointments can also be made to the Nursery Manager for consideration. A decision will be made without recourse to precedent. Notice must be given where possible so that the company can arrange cover staff for ratios. Occasionally shift changes may be required to enable staff to attend medical appointments.

#### Can team members take dependents leave?

Team members must request this in writing. Dependents leave will be granted according to statute.

#### Are there additional sources of information?

Maternity rights - A guide for employers and employees URN 99/1191 Time off for dependants URN99/1186 Your guide to the working time regulations March 2000 ACAS telephone 08457 474 747 and FSB Legal help line 0870 513 3307 There are many on line Government websites which offer advice on line.

#### Section 7

## What are the staff conduct requirements and prohibitions?

#### What are the conduct requirements?

Conduct standards, policies and procedures are written with regard to the ACAS code of practice, existing law and Children-First legal advice. They are subject to review as required. Throughout this document the term Nursery refers to Independent Place Nursery and Baby Nursery, Queens Pre School Day Nursery and Queens Baby Nursery. Staff members who have been employed by the Nursery for less than two years in any current continuous period of employment are not bound to be subject to disciplinary procedures. However the Nursery may, if it considers the situation appropriate, apply the procedures at its absolute discretion. The disciplinary procedures should not be confused with the required conduct requirements and standards which do automatically apply to staff at all times.

Nursery employees are required to:



- Attend punctually during the hours required for them and have their attendance recorded and record their own attendance as required.
- Claim overtime or lieu time only on the basis that it outweighs time lost in unpunctuality.
- Obtain permission from their Manager, according to policy, if they wish to take leave, leave their workplace or activities during required hours of attendance.
- Take all breaks at the time designated by the member of staff responsible for arranging such breaks and return punctually.
- Provide appointment letters, cards, texts, or emails for all hospital or other health appointments.
- Give at least one working weeks request to attend any appointment including medical appointments, interviews or any other appointment unless submitted as an emergency request.
- Give an explanation or certificate for absence and late attendance as required,
- Inform the senior member of staff responsible for staffing as early as possible, according to policy, if they are unable to attend work through sickness and ill health.
- When away from work through ill health contact the member of staff responsible for staffing by 3.00 p.m. using the Nursery landline only if unable to attend work on the following day through ill health or other reason. Texts, or other social media messages and emails are not acceptable. If in hospital a relative may phone.
- Attend Parents' Evenings, Staff Meetings, open days, charity fund raising events, and other meetings which are contractual obligations including up to two Saturdays a year.

## Competence:

- Provide a caring relationship and a stimulating environment for children.
- Complete punctually all the activities required of them including Key Group, records, planning.
- Fulfil their job descriptions.
- Adhere to and put into practice Nursery Policies and procedures, especially those which affect the health safety and welfare of children, equal opportunities, OFSTED or any other law or requirements made in law or by the secretary of State for education or Social Services.
- Ensure that collection of children and entry to the building procedures are adhered to.
- Ensure that telephone calls and messages are logged in the log book, messages are
  passed on to the correct person, parents are informed of accidents, illness, medicine
  involving their child, activities that their child has been involved in and what their child
  has eaten.
- Establish and maintain positive working relationships with all members of the Nursery community and outside agencies.
- Must contribute to ensuring that they run the Nursery to an outstanding standard and continually improve the care given as defined by job descriptions, current law and policy.
- Actively engage in training offered by the Nursery when required to do so.
- Adhere to procedures and policies written in relation to equality laws.
- Caring for the Nursery environment and diligence in managing nursery resources.

## **Keeping informed:**

Date updated: January 2020.



and their particular responsibilities.

- Keep up to date and implement changes in policy and practice.
- Read and where required, contribute to the monthly Newsletter that is sent out to parents.
- Read all OFSTED documentation regarding outstanding practices.
- Read any requirements or changes in the EYFS, Ofsted requirements and in law as they
  are issued.

#### Personal information:

- Ensure that their current details whether temporary or permanent are given in writing to the office as soon as there is any change, these details include name, address, telephone and mobile numbers, marital status, aliases, and their right to work.
- Staff must regularly complete declarations of suitability as required.
- Ensure that they contact Managers on Managers work phones and not on Managers personal phones.
- Ensure that policy is adhered to in terms of calling Managers on their work phones in terms of absence or sickness.
- Ensure that in the case of emergency in Nursery, staff only contact Managers on their work phones and not their personal phone it the Manager is not on the Nursery premises.
- Staff must inform Managers immediately of any changes to medication which may adversely affect their performance and must clarify the suitability of such medication with a doctor.

## Lost property:

• Immediately hand over items of lost or found, property found on Nursery premises to the senior member of staff on duty.

#### Personal appearance and hazards:

- Be clean, neat and tidy in appearance, clothing must be ironed.
- Adhere to the contractual uniform requirement of Nursery tops, plain black trousers or long shorts, black socks, trainers or slippers as required.
- Wear appropriate clothing, corporate clothing or protective clothing as required.
- Wear a minimum of jewellery and ensure that the design of such jewellery could not harm or injure young children, or themselves, even in an emergency, for example when catching a child who is tripping.
- Wear only gel nail varnish is allowed in a natural tone. The maximum length of 0.5 cm beyond the finger-tip is allowed. The Manager on duty has absolute discretion in deciding if a Team members nails are appropriate or not. If in any doubt Team members must discuss with their Manager before having gel nails applied.
- Brush their teeth or take other appropriate action before resuming work with the children if they have been smoking cigarettes.
- Use a body spray after smoking and before resuming work with the children.

## Contact with children:

- Show affection to children in a sensitive, gentle, caring way that cannot be misinterpreted.
- Treat all children with respect, equality and inclusion.



## Equality, diversity and inclusion:

- Give all children equal access to curriculum, differentiate curriculum to ensure all children can take part equally and ensure all children have developmental observations on them.
- Implement the Nursery Equality Diversity and Inclusion Policy.
- Treat all job applicants, children, parents and visitors fairly and impartially regardless of race, sex, marital status, sexual orientation, religion, dietary needs, allergies or disability and work with inclusion in mind.
- Show equal respect all members of the Nursery Community.

## Working time:

- Dedicate all working time and attention during working hours to the care of the children and managing the work contained within individual job descriptions.
- Attend as required and claim wages and LIEU strictly for time worked.
- Ensure that personal mobile phones, or other personal technology, are kept with personal belongings in staff areas or in the lockers as required on each Nursery premises.
- Contribute to Children-First, social media, website or other relevant in a way which positively reflects and benefits the Nursery and the Nursery Community, whilst being mindful must be mindful of appropriate safeguards as social media is a public forum.

## **Nursery Premises:**

- Ensure that the Nursery premises are securely locked and all hand held electrical appliances are turned off and unplugged, child socket safety plugs replaced as appropriate.
- Ensure that the Nursery premises and equipment both indoor and outdoor including carparks are safe secure and present no hazards. Report immediately any concerns to the senior on duty.

#### **Financial Management:**

- The Manager is totally responsible for the financial management of the monthly purchase budget.
- The Manager must ensure that budgets are maintained
- The Manager must ensure resources are bought which have value in terms of learning, longevity and be as environmental friendly as possible.
- All purchases must be chosen by the Manager and or the Co-ordinator and Nursery Director
- The Nursery Director must sign off all purchases except groceries.
- Monthly expenditure will not be put through the accountants.
- Orders must only be placed by Managers, Nursery Co-ordinator or the Provider and all
  potential orders must be referred to the Nursery Director prior to order.
- The exception to this is grocery shopping which will be audited from time to time by the Provider.

#### Bonuses and overtime, part time and cover staff:

- Claim bonuses which are rightfully theirs and for which work has been thoroughly and accurately completed.
- Claim overtime which is properly and correctly logged as TOIL.

Date updated: January 2020.



agrees staff meetings.

- Additional overtime such as staying later or working on a Saturday must have email approval by the company director.
- Cover staff or part time staff can be booked by managers for leave or sick or absence to maintain ratios.
- Cover staff or part time staff cannot be booked in addition to what is required for ratios without email approval from the Nursery Provider.
- Managers must have email approval for any extra hours or overtime above what is required for ratios or staff meetings.

#### Policies:

All team members must adhere to all Policies at all times within the Nursery.

#### Reputation of the Nursery and social media, phones and ICT devices:

- Post positive comments about the Nursery and it's staff on social media.
- Refrain from discussing Nursery with friends on social media with parents, authorised collectors or carers.
- Be mindful of not posting anything which could affect the Nursery reputation, their own reputation, or colleague's reputation, especially when they state that they work at Nursery. This applies to all forms of social media.
- Ensure that notifications are off on watches and similar devices whilst working with the children in the Nursery or out of the Nursery. E.g. trips and training. Devises include Fitbits, Apple Watches and everything else similar.
- Ensure that all devises are placed in lockers during working time in Nursery other than watches. Tablets, IPads and other devices must be placed in staff lockers. Each Nursery has a phone locker and staff phones must be placed in the phone lockers which is monitored by CCTV.

#### Whistleblowing:

- Whistle blow if there are any concerns about a member of the Nursery Community if there is an awareness of serious conduct issues, about quality of care of the children or any other serious concern or behaviour which could cause offence or if the reputation of the Nursery could be compromised.
- Whistle blow any unusual suspicious or odd sounding accident or incident, however trivial it may initially appear.
- Whistle blow if there are any issues in relation to data or privacy being compromised.

#### What are conduct breaches and prohibitions?

This is not intended as an exhaustive list but rather a demonstration of the types of behaviour that are unacceptable. Team members employed at the Nursery must not:

## Physical contact and punishment of children:

- Engage in any form of physical punishment of children at any time, even if the parent has said they would want this or threaten to do so (EYFS 3.53). In such a case the parent must be told that it is Nursery policy, EYFS regulations and that there is no physical punishment of children.
- Raise their voices, threaten, bully, or tease children in a way that is upsetting.
- Engage in any form of emotional or physical cruelty or abuse to children.

Date updated: January 2020.

en to **Children** 

misinterpretation.

- Use any unnecessary force, push, shove or drag children.
- Create accidents involving children which cause harm, injury or upset to children.

#### Team member's medication:

- Staff medication is not permitted in the nursery rooms under any circumstances unless
  it has been deemed an emergency medication by a GP or Consultant, written
  confirmation must then be submitted to the Manager who will sign it and log it in their
  staff file and inform the Nursery Director. A risk assessment must be carried out for such
  medication and staff must have the skills to administer this in an emergency.
- All emergency medication must be clearly labelled and kept in the medicine cabinet.
- Paracetamol and all other medication must be kept in the staff lockers or bags in staff areas, and must never be placed in pockets.
- Where possible staff medication should be taken at home when a team member is not on duty.
- Medication must never be within reach of the children, or in the Nursery rooms which the children use.
- Failure to inform the Manager of medications required and failure to provide details on medication forms in the team members file will be considered a very serious breach of policy and trust.

## Team members allergies, ailments injuries or illnesses

- Report to the manager any concerns about new potential allergies.
- Not disclose allergies or potential allergies on work application forms.
- Report to the Manager confidentially concerns about a disability they are developing.
- Report to Managers confidentially any illness or ailment or injury that could affect their work with children.
- Not disclose illnesses, ailments, disabilities, injuries on their application forms.

#### Insubordination:

- Engage in insubordination, obstructive or violent conduct.
- Refuse to obey reasonable and, or lawful instructions in relation to their contract or variation of contract.
- Work in a deliberately slow or dilatory manner.
- Deliberately working below the required standard,
- Behave in a obstructive provocative or disruptive way,
- Behave in an aggressive, bullying, violent or unruly way, including such things as name calling, ignoring other members of staff, coercive behaviour, or forming covert sub-groups.
- Misreport actions or statements by another member of staff or senior member of staff.
- Refuse to co-operate in an investigation concerning staff conduct in Nursery.
- Refuse to co-operate in an investigation of an accident or incident in Nursery.
- Confront another member of staff, senior staff, parent or visitor in an inappropriate way.
- Refusal to engage in any other aspect of work which is fair and reasonable to expect.
- Refuse to attend any training that is reasonably required. This includes First Aid which applies to all team members including Chefs.



- Borrow any item of Nursery property without the written consent of the Manager.
- Borrow any money from the Nursery without the written consent of the Manager, this
  may any be agreed in an emergency without recourse to precedent.
- Fail to log and return any money or property to the Nursery within the date agreed at the time of the loan.
- Borrow or lend any property or money from another member of the team.
- Remove Nursery property and use for the benefit of themselves or relatives, such as children's paint or any other resources.

#### Nursery premises and resources:

- Gain access to or attempt to gain access to Nursery premises whilst the Nursery is closed without the prior written consent of the Nursery Manager.
- Use any resources belonging to the Nursery without prior written consent of the Nursery Manager whilst the Nursery is closed.
- Not report any concerns or potential risks in relation to premises carparks and outdoor areas.

#### Notices:

- Exhibit or display notices on Nursery premises which are inappropriate, or may jeopardise safeguarding children, e.g. an advert from an unknown baby-sitter offering services.
- Display any notices for services of parents, friends, relatives, local services, businesses, community groups without the consent of the Nursery Manager.

#### Smoking and alcohol:

- Smoking within the Nursery buildings at any time, smoke in a place which is clearly visible to parents, other visitors arriving at the Nursery, or members of the local community.
- Smoke whilst uniform is on display.
- Smoke in a place from which smoke can drift into the Nursery building.
- Dispose of cigarette butts in an inappropriate way or place.
- Smell of cigarettes or have breath smelling of cigarettes.
- Drink alcohol or be hungover either before being on duty, whilst on duty, or during any parents event. (EYFS 3.19)

#### Safety:

- Fail to comply with all health and safety policies and procedures.
- Give a child food or food containing an allergen that they are sensitive too.
- Give a child food or food containing something that their preferences do not allow,
   e.g. meat to a vegetarian child.
- Fail to provide first aid as soon as it is needed to a child or any member of the Nursery Community.

#### Gambling:

• Bet or gamble whilst at work.

#### Personal use of Nursery property, resources, time, bonuses:

Date updated: January 2020.



not been thoroughly and effectively completed recorded.

- Use the Nursery computer for personal use during working time.
- Use the Nursery phone for personal calls.
- Make personal calls during working time.
- Take food from the kitchen for their own enjoyment.

#### Welfare of the children:

- Engage in any behaviour which adversely affects the welfare and education of the children.
- Use any form of psychological punishment or abuse, including bullying children.
- Call in sick, when engaged in any other inappropriate activity, therefore lying and affecting the safe and effective management of the Nursery and being absent from the children.
- Engage in activities which adversely affect the adult, child ratios
- Engage in any activities which cause offence to children either in the Nursery or on social media.
- Fail to report an accident as soon as it occurs.
- Fail to complete any relevant form when it should be completed.
- Fail to comfort a child who is upset or injured.
- Fail to report to the Manager immediately any concerns about a child that may have occurred they are at home.
- Handle a child with undue force, pushing, shoving, grabbing on in any other inappropriate manner.

## Reputation of the Nursery and social media, phones and ICT devices:

- Engage in any activities whether lawful or unlawful which adversely affects the reputation of the Nursery Community including via social media.
- Team members should not be friends on social media with parents, authorised collectors or carers.
- Team members who decide to be friends of follow other team members and, or parents and carers, must be mindful of not posting anything which could affect the Nursery reputation, their own reputation, or colleagues reputation, especially when they state that they work at Nursery. This applies to all forms of social media.
- Receive any form of personal social media notifications on any devices whilst working in the Nursery or on duty out of Nursery, e.g. trips and training. Devices include phones, Fitbits, Apple Watches and any other form of social e-communication.
- Have any personal devices such as phones, tablets, IPads or any other personal device in the rooms whilst working with the children, on trips or on outings with the children.

#### Data protection and privacy:

- Give any information relating to the children or any member of the Nursery community, to any other party including other parents, other than the child's own parent or carer.
- Divulge information relating to previous children, parents carers and staff to any other person or agency without the express consent of the Nursery Manager.
- Divulge any information concerning staff investigations, grievances and disciplinary actions to other members of the Nursery community.

Date updated: January 2020.



Enter the office unaccompanied if they are not a CF Suitable Person.

#### Polices:

- Not adhere to all Polices in Nursery.
- Not adhere to policies that apply which are relevant to life outside of the Nursery, these include activities on social media which may bring other Team Members or the Nursery into disrepute.
- Refuse to read Nursery Policies when required to or as part of their training or ongoing training.

#### Whistleblowing:

- Not report or whistle blow if there are any concerns about a member of the Nursery Community if there is an awareness of serious conduct issues, about quality of care of the children or any other serious concern or behaviour which could cause offence or if the reputation of the Nursery could be compromised.
- Not report or whistle blow if there are any issues with a team member in relation to data or privacy being compromised.
- Not report or whistle blow any suspicious incident or accident however minor it may appear.

## What conduct governs relations with the Nursery community?

In all dealings with the public, parents, suppliers and any organisation with which the Nursery:

## Impartiality:

- Be fair and impartial.
- Respect all members of the Nursery Community fairly and as individuals.
- Adhere to the Equality, Diversity and Inclusion Policy.
- Adhere to disability law and the Disability requirements in the company Policies.

#### **Relationships:**

- Give a prompt and efficient service.
- Always have a helpful and courteous manner.
- Ensure that all relationships with members of the Nursery community are positive, professional and fair.
- Ensure that partnership is at the heart of relationships with parents.
- Ensure that they do not borrow or lend any money or property from or to Nursery parents.
- Ensure that the handovers of each session are informative, relaxed and present a positive image of every child, the Nursery and it's care, education, treatment of children and other staff, ensure that information shared is balanced.

#### Policy requirements with legal implications:

Team members are required to comply with all legislation and common law requirements affecting their work and the Nursery reputation. Nursery staff who commit criminal offences against the Nursery are liable to prosecution as well as disciplinary action including dismissal. Team members must be mindful of all Policies with legal implications. Reports to LADO, Ofsted and the DBS may be required in some instances.



## It follows for example that Nursery staff are not permitted to:

#### **Punishment:**

- Use physical punishment.
- Use the threat of physical punishment.
- Exclude of a child or other cruelty.
- Use any form of bullying or psychological punishment.
- Create accidents or incidents with children or adults in order to hurt, injure, punish or

harm a child or children.

#### Illegal drugs and alcohol:

- Be under the influence of alcohol or drunk whilst at work, this includes parent's evenings or any other function which involves parents.
- Possess or misuse, or be under the influence of any illegal drugs whilst on Nursery premises and/or on duty,

#### Lawful acts:

- Breach any Policy with legal requirements or implications.
- Be engaged in any illegal activities or those that breach British Values.
- Breach any safeguarding requirements or Ofsted requirements.
- Be involved in any activities which breach obscenity, equality, offence or harassment laws.

## Equality, diversity and inclusion:

• Behave, act or exhibit any form of stereotyping, sexism, racism, disability and any other form of prejudice.

#### **Bullying:**

 Behave in a bullying manner, exhibiting any form of exclusion by forming a sub group, malicious gossip, name calling, coercive behaviour or cruelty to any member of the Nursery Community this includes all social media.

## References and new employees:

 Provide references for any current or ex team member on behalf of the Nursery. (All references must be produced by the Nursery Manager, agreed by the Nursery Director, and contain a disclaimer. Student references will be produced by the Student Placement Officer, contain a disclaimer and be agreed by the Nursery Manager.)

#### Theft and damage:

- Damage or steal Nursery property or fees, or any other money belonging to the nursery.
- Use the Nursery phone for any personal calls.
- Claim time for payment which has not been worked or claim overtime bonuses or time in lieu for which they are not due.
- Remove food from the kitchen for personal use either whilst working or to remove food from the Nursery for consumption elsewhere.

resources.



#### Corruption and fraud:

- Participate in fraud with regard to Nursery contracts, or Nursery clients.
- Corruptly solicit or accept gratuities or gifts.
- Falsify timesheets, attendance, sickness, LIEU time, holiday records or bonuses.
- Obtain an absence by falsifying illness.
- Falsify fee or petty cash receipts.
- Inform the Nursery that they are sick and then engage in work of any kind, go on holiday, or enjoy the day engaging in activities which would mean that they are fit for work. A claim for statutory sick pay in this situation is liable to prosecution by outside agencies.

#### Commercial confidentiality, data protection and privacy:

- Divulge any information or data about the Nursery, staff, parents or its children to any outside agencies, friends, parents, family, that may compromise the Company.
- Divulge any information or data that requires privacy.
- Not lock up confidential data, tablets or put files away in the cabinet as soon as possible after use.

## It follows that nursery staff are required to: Child protection:

- Be aware of the signs of child abuse. Report immediately to the Designated Safeguarding Lead or senior member of staff on duty all concerns however minor.
- Report all suspicious or unusual events, accidents or incidents to the senior manager on duty, even if they appear trivial.
- Adhere to the Nursery's Safeguarding Policy.
- Review the safeguarding red flags document and act on this.
- Be aware and implement Policy relating to equality, Prevent Duty, special needs and data protection.
- Report to the Manager immediately any concerns about a child that may have occurred when the child was not at Nursery.

#### Equality, diversity and inclusion:

- Required to actively support British Values, equality and diversity at all times in whilst in Nursery and during working times.
- Required to ensure children do not play in an inappropriate way which does not conform with British Values, such as war play or role models which use terrorism.
- Required to inform a Manager immediately if they have a concern regarding a member of the Nursery community in relation to equality and diversity, racism, discrimination or British Values.

#### Crime prevention:

- Acquaint themselves with the locking up procedure and ensure it is completed effectively.
- Report to the senior member of staff on duty any instances of suspected, proposed and completed crime against the Nursery themselves.

:ludes,



safeguarding children, illegal drugs and being under the influence of alcohol or having a hangover.

 Report any potential crime to the police as soon as possible including harm to a child if advised by the LADO.

## **Bullying:**

• Inform the Nursery Manager immediately if they become aware of any intimidating behaviour, name calling, ignoring, ostracising, coercive control or covert group forming both in terms of staffing and children.

#### Arrests, convictions and cautions:

- Report to the Nursery Manager if cautioned, arrested by the Police, refused bail or convicted by a court of any criminal offence. The Nursery will report these to the LADO and inform OFSTED as they may affect a person's DBS. The Manager must report such instances to the Nursery Director.
- Report to the Nursery Manager if another Team member is if cautioned, arrested by the Police, refused bail or convicted by a court of any criminal offence.

The Nursery will report these to the LADO and inform OFSTED as they may affect a person's DBS. The Manager must report such instances to the Nursery Director.

#### Section 8:

## What is our Modern Slavery Act Statement?

Children-First is made pursuant to Section (1) of the Modern Slavery Act 2015 and is our slavery and human trafficking statement. It explains how we assess and address the risks of violations of anti-human trafficking and modern anti-slavery laws. We have a zero based tolerance of modern day slavery and human traffic within the Nursery and in our supply chains. Managers must be mindful of using recognised supply chains and legitimate businesses for resources and supplies.

## What procedures are in place to ensure compliance with the Act?

The following procedures are in place:

- Our employment procedure is very robust. All employees are recruited in line with company policy and procedure which promotes safer safeguarding in terms of employment checks, references, verification of employment history, DBS checks, overseas police checks and personal identity verifications.
- Policies in place cover whistle blowing which supports the staff to report any genuine concerns relating to modern day slavery, human trafficking, or human rights violation. Advice will be sought from the company solicitor and a proper course of action will be taken.
- All employees receive staff training and induction heavily focussed around children and care. This created an environment which empowers staff to come forward with any concerns.
- Staff performance and new qualifications as a result of in house training are constantly under review and staff pay and rewards are awarded for achievements.
- Staff receive an annual pay review.
- Achievements in the Nursery are rewarded.

#### Section 9:

# What are the procedures?

The Nursery procedure provides a consistent and fair method of dealing with alleged failures to observe the conduct standards and rules. It is based on the ACAS procedures and legal advice. Under this procedure and so far as practical bearing in mind they are internal Nursery procedures:

- All cases are fully and fairly investigated.
- Individuals are entitled to have their say before decisions are reached.
- Individuals are entitled to be accompanied by a work colleague or trade union official during formal meetings. That person may observe, take notes, or assist in the presentation of the individual's case in line with employee's statutory rights.
- A range of warnings and penalties will be used to deal with misconduct.
- Probationary reviews, appraisal and Supervisions and PIP's will be used to improve performance.
- In a case that involves alleged criminal activity the police will be involved.
- In an instance where a team member is involved, the Manager will report to Ofsted and the LADO.
- Disciplinary procedures are a last resort to attempt to change behaviour.

#### What does the informal investigator have to do?

The informal investigator is a Manager and is responsible for opening a case with our legal advisors. The Manager will investigate by interviewing the relevant parties or witnesses to the alleged misconduct as soon as possible after the alleged misconduct. In the case of suspected child abuse then an internal investigation must be overseen by the Local Authority Children's Social Care Team. LADO. Our Safeguarding Policy has the full procedure. Everybody who is interviewed must be instructed to record their part or observation in writing. This is for the purpose of fact finding. Written statements must be taken dated and signed. No decisions must be made. All interviewees should meet with the investigator individually, unless they object and wish to have a colleague with them. If no action is decided upon a supervision and Personal Improvement Plan, (PIP) may be appropriate.

#### What is the informal investigators personal responsibility?

The Nursery is responsible for managing and conducting the procedures in a manner that it sees fit and it will be responsible for making all decisions and arrangements as to how the procedure is organised. Within the process the relevant decision maker has the responsibility to:

- Open a case with our legal advisors and discuss the concern.
- Review CCTV where possible.
- Follow procedures discussed with our legal support advisors at every stage.
- Interview all witnesses and those involved in the alleged breach of discipline in an impartial manner.
- Ensure that employees are aware whether the meeting is for the purposes of investigation.
- Liaise with the police where there is police involvement.
- Liaise with Ofsted if there is Ofsted involvement.
- Liaise with the LADO required for safeguarding.

#### If disciplinary action is decided upon what happens?

formal



Investigator Interviews stage and be fully familiar with the facts and witness statements,

- Establish from the witnesses whether they accept that the member of staff involved in the alleged misconduct will be aware of who has written their statement. If they insist their name is withheld then a digest of the statement/s will be produced by a Manager. This digest will normally carry less weight than statements and must be avoided if possible.
- Consider the age, length of service, conduct record, health and welfare of the person who has committed the alleged misconduct.
- Consider any mitigating circumstances.
- Ensure that early use of these procedures will be designed to improve conduct, in order to avoid later more serious disciplinary action.
- Always act in accordance with legal advice.

## What happens if the investigator feels further action is required?

If on completion of the investigation there appears to be a case of misconduct, the investigator will refer back to our legal advisors. If disciplinary action is supported by Policy and/or Law the Manager will hand the case to another Manager and not be involved again unless further investigation is required. The Team member concerned will be informed of a disciplinary hearing in writing. They will be given the required written notice detailing the disciplinary offences, time, date, location and all documents or images the action relies upon. The letter will also give full details of the person chairing the meeting time date and location of the hearing. In certain cases if they are unable to attend in person, the hearing could be conducted over the phone.

#### When does Children-First discipline or suspend an employee?

At any stage prior to, during or following the investigation, the employee may be suspended on a without prejudice basis, having sought legal advice first. This should occur if one or more of the following applies:

- The allegation is of abuse against a child Safeguarding Policy in consultation with the LADO must be followed and Ofsted must be informed. It is very likely that the DBS will be involved.
- It is necessary for a proper investigation to take place.
- The continued presence of the employee at the Nursery may be prejudicial to a fair disciplinary hearing and the children.
- The presence of the individual would potentially affect the wellbeing of any member of the Nursery Community.
- The allegations are serious enough for consideration of dismissal.
- There are other reasonable grounds for suspension.

It must be explained to the employee that suspension is not a disciplinary sanction in itself. The reason and length for suspension will be made in writing to the employee immediately. There is no right of appeal against this as it is without prejudice to any decision and is consequently not disciplinary action. The suspension will normally be made in writing and will be paid on full pay until complete.

## What rights do team members have at a disciplinary hearing?

The person or persons involved in a disciplinary may bring a work colleague or trade union representative of a union to which the staff member belongs, to the hearing. The person

nents,



CCTV, images and evidence being relied upon at the hearing. A copy of these must be provided for the Team member. If the member of staff chooses they can respond in writing or verbally. The Team member of staff also has the right not to respond or not to give an explanation, although this will not stop the Nursery proceeding with the disciplinary and making a decision based on all the other evidence. A record of any disciplinary action must be communicated to the team member.

## How does Children-First choose suitable disciplinary action?

In cases of clear gross misconduct instant dismissal is appropriate. In other cases dismissal either summary or with notice may also be appropriate but it is the duty of the Company Director or Nursery Manager to bear in mind the principle that the action should improve conduct. An assessment must be made of the proposed disciplinary action and the consequences of such action. Under no circumstances must sarcasm, ridicule or bad language be used. The Nursery has the right to:

- Downgrade, reduce pay or transfer a member of staff, demote, or find another suitable role.
- Suspend with full pay for between one and three days whilst investigations are undertaken (or longer in consultation with the LADO and/or the FSB)
- Give a first written warning.
- Give a final written warning.
- Dismiss with or without notice for gross misconduct or as the result of a third warning. In all cases the person must be clearly informed of the outcome of the disciplinary and a written record be kept by the Nursery Manager on file.

## What is a first written warning?

This will be used when it is concluded from the facts, that there has been a minor infringement of the Nursery Policies, or a breach of the Early Years Foundation Stage requirements. The aim of this is that through a Supervision and PIP standards and conduct will be improved. The meeting Supervision and a PIP will be worked on in privacy. A first written warning include the following:

- An opportunity for the individual to put forward an explanation.
- Constructive criticism on shortcomings.
- Information on what improvement is required in performance.
- Information on how this improvement can be achieved, and by when it should be achieved.
- An understanding that further misconduct of this nature or any other will lead to further disciplinary action.

A copy of the warning must be given to the employee concerned for retention, and a copy placed in the staff file.

#### What is a second written warning?

This will be used when it is concluded from the facts, that there has been an infringement of the Nursery Policies, or a breach of the Early Years Foundation Stage requirements. The aim of this is that through a Supervision and PIP standards and conduct will be improved immediately. The meeting Supervision and a PIP will be worked on in privacy.

- An opportunity for the individual to put forward an explanation or explain about a lapse.
- Constructive criticism on shortcomings, or why they have occurred again.

Date updated: January 2020.



sustained.

- Information on how this improvement can be achieved immediately and not relapse.
- An understanding that further misconduct of this nature or any other will lead to more serious disciplinary action.

A copy of the warning must be given to the employee concerned for retention, and a copy placed in the staff file.

## What is a final written warning?

Further misconduct may warrant further written warnings or a final warning which will contain a statement that any further incidents may lead to dismissal. There may be occasions when misconduct is considered insufficiently serious to warrant dismissal but serious enough to justify only one warning i.e. a warning at this stage. A copy of the warning along with copies of the evidence from witnesses will be kept on file by the Nursery Manager for five years. There will be a Supervision and PIP, standards and conduct will be improved immediately. The meeting Supervision and a PIP will be worked on in privacy.

#### What is a dismissal?

Other than for cases of gross misconduct an employee shall not be dismissed for a first breach of discipline. Gross misconduct is defined elsewhere in this document. Full records will be kept on file by the Nursery Manager. If a team member has been dismissed, all uniform and keys must be returned immediately. Deduction of pay will be made for unreturned uniform or irreparable damage of uniform. During or following a dismissal process, reports to the LADO, Ofsted and the DBS may be required.

## Do team members have the right to appeal?

Employees have the right to appeal to the Manager who chaired the disciplinary meeting against any disciplinary action if they feel the outcome of the disciplinary is unjust or new evidence comes to light which could affect the outcome. Wherever possible appeals must be made within five working days. Employees must make appeals in writing. In cases where an appeal is made it will be heard by a different Manager than chaired the Disciplinary Hearing or investigated the matters.

#### What is the definition of gross misconduct?

Gross misconduct is serious enough in the context of the Nursery's work to destroy the employment contract between the Nursery, Staff and the employee, and make any further working relationship and trust in the care of the children impossible. If after investigation it is confirmed that an employee has committed any of the following types of serious offence the normal consequence will be summary dismissal i.e. dismissal without notice, unless there are mitigating circumstances. This outlines potential definitions but is not exhaustive. This list includes:

- Serious breach of the conduct standards and or rules.
- Serious breach of the law.
- Use of physical punishment.
- Emotional, physical, sexual abuse of children.

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breach of British Values, such as postings on social media, particularly when a connection to the Nursery Is clear.

- Cruelty to children.
- Bullying.
- Dishonesty.
- Using unnecessary force with a child or children such as grabbing, shoving, dragging, pulling or any form of bodily injury to a child.
- Being absent without Nursery Manager's consent, or on false pretext.
- Violence or aggression to any member of the Nursery community.
- Breach of safeguarding requirements including collection issues.
- Discrimination, racism, racial or sexual harassment, abuse or any other form of prejudice either verbally or in a written format.
- Abuse of time sheets, claiming undue overtime or LIEU, falsely claiming overtime above what is lost in unpunctuality, claiming of bonuses for which they are not eligible in terms of incomplete tasks or tasks not completed thoroughly.
- Use of the Nursery credit cards without authorisation of the Nursery Director, grocery orders are authorised for Managers or the Nursery Co-ordinator only. (Chefs can set up orders but they must be authorised by Managers.)
- Use of the Nursery credit cards if a team member is not the Nursery Director, Nursery Co-ordinator or Nursery Manager. Orders must be approved by the Provider.
- Theft of any kind from the Nursery or any member of the Nursery Community.
- Theft and fraud and deliberate falsification of records, corruption relating to fees or other financial aspects of the Nursery.
- Booking of cover staff or part time staff by the Manager to work extra hours above what is required for ratios by the Manager without email approval of the Nursery Director
- Booking of cover staff or part time staff extra hours by any other team member.
   Requests must be made by managers with details of need.
- Fighting, assault and deliberate provocation of a member of the Nursery Community.
- Incapability through illegal drugs or alcohol, or evidence of taking or possession of drugs or alcohol.
- Gross neglect of health and safety rules likely to endanger any member of the Nursery community.
- Serious criminal offence committed against the Nursery.
- Claiming wages for time worked, when the staff member has been absent, other than sick, holiday or other agreed absences.
- Criminal offence which may not arise from the employment but which make the person unsuitable for employment with children.
- Engaging in activities which may have a serious negative impact on the reputation of the Nursery.
- Unauthorised disclosure of information or data to any party.
- Accessing data in the Nursery which they are not entitled to access.
- Unauthorised access, or aiding and abetting unauthorised access to Nursery management system 'First Steps' for the purpose of theft, reading, modification or falsification.
- Refusal to co-operate in an investigation concerning misconduct.
- Wilful cases of giving unsubstantiated, misleading or inaccurate information about the Nursery or its competitors to members of the Nursery Community.

of the **Children** 

Nursery or any Nursery staff, including on social media.

- Have or use a personal phone in the room or on outings or trips with children.
- Wear or have any device which receives personal or social media notifications on their person which is active in receiving messages, emails or any other notifications.
- Refuse to work with a different age group or at a different Nursery, if required to do so.
- Fail to maintain existing high standards in terms of the EYFS.
- Fail to maintain positive working relationships with all members of the Nursery Community.
- Repeated failure to fulfil job descriptions.
- Lack of implementation of Policy and lack of implementing Policy development.
- Theft of intellectual property of the nursery such as the procurement of policies prospectus or manuals.
- Theft of intellectual property includes transmission, relaying or copying of any policy; handbook or manual documents to any third party without the advance express written permission of the Nursery Director.
- Gross breach of trust in relation to work in the Nursery.
- Employment offer to a new team member by any other person or team member other than the Manager, Nursery Coordinator or Nursery Director.
- Gross breach of trust in relation in the relation with the Nursery or members of the Nursey Community.
- Not reporting an incident or accident to the senior on duty immediately.
- Not providing first aid to a child who has had an accident or been involved in an incident immediately.
- Creating an accident or incident which harms a child or another adult.
- Not giving an accurate account of an accident or incident in which a child or adult is injured or harmed.
- Attempting to conceal an incident or not give an accurate or true account of an accident or incident on the first occasion asked.
- Not completing all necessary forms in relation to children and/or Nursery at the first available opportunity especially in relation to a child's health, safety and wellbeing.
- Not tending to a child or adult who is sick or injured by providing comfort and first aid as soon as possible.
- A combination of the factors outlined above.

#### What is the definition of misconduct?

The following list is not exhaustive but provides examples of misconduct which are likely to result in one of the following penalties or warnings depending on the circumstances: dismissal with notice, serious offence dismissal warning, written warning, and informal warning.

- Breach or continuous minor breaches of the Nursery's conduct standards and policies.
- Disregard for safety rules, including smoking, body piercing and jewellery wearing.
- Lack of adequate care or education for the children.
- Not completing planning, key group work or children's records.
- Repeated blameworthy incidents and or accidents involving children.
- Repeated acts of minor misconduct.
- Not fulfilling job description.
- Unpunctuality,



payment by the Manager.

- Falsifying illness in order to gain absence for any illegitimate reason such as, days out, interviews, driving tests or any other activity including those which may require a week's notice.
- Inability to maintain effective, positive working relationships with all members of the Nursery Community, offensive behaviour or behaviour which contravenes the Nursery Equality Policy.
- Insubordination.
- Unauthorised personal use of Nursery resources including the phone, photocopier food or any other resources.
- Engaging in an unsuitable or unauthorised activity or employment outside of working hours.
- Engaging in any occupation, holiday, and voluntary activity whilst on sickness leave, also liable to prosecution by outside agencies.
- Involvement of any behaviour with the children which is in breach of British Values, such as encouragement of inappropriate interests and play, e.g. knives, swords, guns, army fighter boat toys, etc.
- Deliberate loss or damage to Nursery property.
- Failure to obey no smoking rules.
- Gambling at work.

## What is a management search?

The Company Director, Co-ordinators and the Nursery Manager have the right to search Nursery premises as part of an investigation. Individuals consent is needed to search any private property. Where such consent is given the search must be carried out in the presence of the owner of the property and a friend, if the owner wishes. CCTV can be reviewed at any time by a Senior Manager.

# What happens if there is conflict between members of staff, or members of the Nursery Community?

In these cases after establishing the facts through fact finding interviews, those involved will meet together with the Nursery Manager for a supervision aiming to resolve the conflict. The team member will be given a PIP. If the conflict is not resolved or reoccurs, the Nursery Manager or Company Director will decide on further action and level of disciplinary action depending on the issues involved and gravity of the conflict. In all circumstances all staff are expected to adhere to professional code of conduct and behave in a professional manner

#### Section 10:

# What are grievance investigations, hearings and appeals?

#### How is a grievance defined in employment law?

A grievance is defined as, "a complaint by an employee about action which the employer has taken or is contemplating taking in relation to them". It includes grievances about the actions of third parties and work colleagues where the employer could be held vicariously liable. A grievance is very different from whistleblowing and if in any doubt, the Whistleblowing Policy should be reviewed.

## What is a grievance in Nursery?

Date updated: January 2020.

ees or



previous employees in relation to aspects of their work. This grievance procedure deals with grievances fairly, consistently and expeditiously.\_The intention of the Nursery procedure is to resolve all grievances as early as possible.

Some issues that may cause grievances to be raised by employees include:

- Terms and conditions of employment.
- Health and safety.
- New working practices.
- The working environment.
- Work relations.
- Bullying, coercive behaviour and harassment.
- Prejudice and discriminatory or racist behaviour.

This list is not exhaustive and any grievance raised must be investigated with the team member, heard and responded to. If the grievance is not resolved after the investigation, a more formal procedure to hold a grievance meeting will be held.

#### What are the forms of grievance?

There are two types of statutory grievance procedure these are:

- 1. The standard procedure applies in all cases where the employee is still employed and also in most cases where the employee is no longer employed.
- 2. The modified procedure applies in respect of former employees but only in certain circumstances i.e. where either the employer was not aware of the grievance before employment ended, or, if the employer was aware, the standard procedure had not started or had not been completed by the time employment ended and, in either case. Children-First and the Team member must agree in writing that the modified procedure will apply.

#### How does a Team member or Team members raise their grievance or grievances?

An employee should normally raise a grievance with their Nursery Manager. If the grievance is against the line Manager, that Manager must pass the grievance on to another Manager. A Team member can also raise a grievance with a different Manager, Coordinator, or the Nursery Director. In some cases Team members can be given support to help them formulate their grievance before submitting it.

#### How do we deal with grievances in the workplace?

Legal advice must always be sought by Nursery Managers as soon as a grievance is raised whether verbally or in writing. If a team member raises a grievance verbally an investigatory meeting with the Team member should be held and the meeting notes signed and dated by both the Manager and the Team member. The Manager may need to undertake further investigations. In the first instance, employees should aim to resolve their grievances informally with their Manager's support. In some instances Team members may need support from a Manager to assist them to formulate their written grievance.

## What happens if the grievance cannot be settled informally?

If a grievance cannot be settled informally, the next stage is for the employee to raise the grievance on a formal basis in writing or verbally with another Manager. A senior Manager must invite the employee to submit their grievance in writing. The grievance

th the Nursery Manager or the issue relating to the grievance having occurred. Efforts must be made by all parties to deal with and resolve grievances as quickly as possible so that they do not affect the smooth and effective running of the Nursery or the quality of care of the children. A grievance hearing will be held and the Team member will receive a written response.

### What happens if the grievance is not resolved after the grievance hearing?

Team members can appeal outcomes of grievances. The appeal must be dealt with by another Manager. In these circumstances, the relevant Manager must act as impartially as possible. On occasion's Independent arbitration, using an external arbitration organisation, can be an appropriate means of resolving grievance issues if the Team member and/or Nursery request or agree to this.

#### What are the three steps of the Nursery grievance procedure?

**Stage one -** The employee must raise their grievance verbally, in writing or by email to any member of the Senior Management Team. A verbal grievance may be resolved at the time of raising a grievance in an informal investigation meeting. However setting out of a grievance in writing or by email, is encouraged and should be given or sent by email to the Nursery Manager, a Nursery co-ordinator or the Nursery Director. At this time a case will be opened with our legal advisors and further advice sought at each stage of the grievance process.

**Stage two -** The Manager not involved in stage 1, must invite the employee to attend an investigation meeting to discuss the grievance. The meeting must not take place unless the Team member has informed the Manager of basis for the grievance was and the employer has had a reasonable opportunity to consider their response to that information. The Team member must take all reasonable steps to attend the meeting. There may be circumstances when the grievance could be heard over the phone. The employee may be accompanied by a work colleague or a trade union official of a relevant union of which they are a member. After the meeting, the employer must inform the employee of the decision in writing as to his response to the grievance and notify the employee of the right to appeal against it if they are not satisfied with it. This will be done within five working days.

**Stage three -** If the employee does wish to appeal, they should inform the Manager who chaired the grievance meeting in writing or by email. If the Team member informs the Manager of their wish to appeal the case should be handed to another Manager. An invitation to the appeal meeting will be sent to the Team member in writing. The appeal must explain the reasons why an appeal is being made. The Team member must take all reasonable steps to attend the meeting. The employee has a right to be accompanied by a work colleague or a trade union official. There may be circumstances when the appeal could be heard over the phone. After the appeal meeting, the employer must inform the employee of their final decision in writing.

## How are grievance meetings organised?

On receiving a formal grievance, a Manager should write to the employee inviting them to attend a formal grievance meeting as soon as possible. The letter should also advise the employee of their statutory right to be accompanied at the grievance meeting by

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Date updated: January 2020.

practice to agree the date and time of the grievance meeting with the employee. The timing and location of grievance meetings must also be reasonable. Grievances should be treated confidentially. The meeting should be conducted promptly. A requirement of the statutory grievance procedure is that each step and action under the procedure must be taken without unreasonable delay. The grievance meeting must take place before any decision is made on the grievance. At the grievance meeting, the Team member should be allowed to explain in detail their complaint and say how they think it should be resolved. There should be a meeting minute-taker present. Pages must be numbered and signed on each sheet by all those present at the meeting.

If it transpires during the meeting that further investigation is necessary, the grievance meeting should be adjourned to carry out that further investigation. Further investigation might include obtaining further statements from any available witnesses. Witness statements must be signed and dated by the person making the statement. If a witness does not want their name to be disclosed a digest which provides anonymity can be produced. However this does not have the same standing in law.

After the grievance meeting, the Manager chairing the meeting will respond with a written outcome to the Team member's grievance and inform the Team member that they can appeal against the decision if they are not satisfied with it. What is considered reasonable will vary from case to case but three to five working days is normally long enough. If it is not possible to respond within this timeframe, the Team member must be informed in writing with an explanation for the delay and informed when a response can be expected.

## What is the responsibility of the Chair?

The Chair must give the grievance careful consideration before responding and must not prejudge the situation. Examples of prejudgment are:

- Holding a grievance meeting only to hand a pre-written decision letter to the Team member at the end of the meeting.
- Handing down the decision at the end of the grievance meeting without going back to carefully consider and weigh up all the facts, statements or any other relevant data, CCTV or images.
- In all cases at least three working days must be given for careful consideration of the facts and for obtaining further legal advice.

After the grievance meeting, the Manager will respond in writing to the Team member's grievance within a reasonable time. The Manager should let the Team member know that they can appeal against the decision if they are not satisfied with it. What is considered reasonable varies from case to case three to five working days is normally long enough. If it is not possible to respond within this time frame, the Team member should be given an explanation for the delay and told when a response can be expected in writing.

# What happens when the employee cannot attend the date and time for the grievance meeting?

Meetings will be during Nursery opening times. When arranging a meeting under the statutory grievance procedure and the Team member fails to turn up, or informs you in advance that they cannot make it, the question of what happens next depends on the

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steps to secure the employee's attendance, or presented the employee with alternative options such as holding the grievance meeting on neutral territory, on the phone or permitting the employee to submit a more detailed written grievance statement.

#### What about appeals?

If a Team member informs us that they wish to appeal against the grievance decision the case is passed to another Manager, who must not have been involved in the grievance. The Manager should arrange an appeal meeting. This ensures undue influence is not placed on that individual during the appeal process, allows the appeal to be decided entirely impartially and enables compliance with the statutory grievance procedure. At the same time as inviting the Team member to attend an appeal meeting in writing, they should remind the Team member of their right to be accompanied at the appeal meeting by a colleague or a trade union official. The invitation to the appeal hearing must be sent out in writing with a minimum of three days' notice for when the employee receives the letter of invite. As with the original grievance meeting, Managers should write to the employee with a decision on their grievance appeal as soon as possible and within five days of hearing the appeal. At the Nursery the appeal decision is the final stage of the grievance procedure.

#### What is the statutory right to be accompanied?

Team members have a statutory right to be accompanied at grievance meetings, including grievance appeal meetings. Where a Team member is invited to attend a grievance meeting and the Team reasonably requests to be accompanied, the Chair must allow this bearing in mind safeguarding requirements. That colleague or Trade Union Official of a relevant union must be selected by the Team member an permitted to address the meeting in order to do any or all of the following with the Team members permission:

- Put the case.
- Sum up the case.
- Respond on the Team members behalf to any view expressed at the meeting.
- Permitted to confer with the Team member during the meeting.

However, the companion has no statutory right to answer questions on the Team member's behalf and is not permitted to do so.

The Nursery Manager hearing the grievance is free to select an initial date for a grievance meeting, but is required to re-schedule it where the employee's chosen companion is not available on the date proposed for the meeting. The employee must propose an alternative time which is reasonable and which falls within a period of five working days, excluding weekends and Bank Holidays, beginning with the first working day after the date proposed. If the employee's chosen companion is a Team member, they must be given time off work during working hours to accompany the Team member.

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- 1. Invoices must be sent to the nursery by email on or before the 20<sup>th</sup> of each month. Delayed invoices will not be paid until the following month.
- 2. If invoices from self-employed teachers are not received by the 20<sup>th</sup>, or if the 20<sup>th</sup> falls on a weekend, then the invoice must be received by the last working day before this.
- 3. Nursery Managers confirm all invoices are correct when they email to the company accountant and Company Director. Invoices must be checked to ensure that they are correctly paid.
- 4. Payroll accountant will create payment schedule to be signed off by Company Director.
- 5. Self-employed staff to be paid by BACS on or around the first Friday of each month.
- 6. Self-employed staff to ensure that bank details for BACS payments are on every invoice that is presented, along with a detailed description of services rendered, dates, and any other charges.

Self-employed members of staff please sign below to ensure you have read, understood payment conditions as set out above and return to the Nursery Manager.

Sign:			
Print Name:			
Date:			

Date updated: January 2020.



Start Date: Name:

#### <u>Pre-Start</u> -The following is to be sent by email to new staff members:

Task	Date completed	Signed
Certificates filed, references acquired, checked by phone and notes made and signed by manager		
Staff contract, job description, new staff forms, qualification discussed and filed.		
Government online prevent training completed and filed		
Policy 1 – Staffing policy, questions and answers completed.		
Policy 2 – Organisation, questions and answers completed.		
Policy 3 - Care, learning and play, questions and answers completed.		
Policy 4 - Physical environment, questions and answers completed.		
Policy 5 - Toys, resources and equipment, questions and answers completed.		
Policy 6 – Safety, questions and answers completed.		
Policy 7 – Health, questions and answers completed.		
Policy 8 – Food, drink and Nutrition, questions and answers completed.		
Policy 9 - Equal opportunities, questions and answers completed.		
Policy 10 - Special needs, questions and answers completed.		
Policy 11 - Behavior management, questions and answers completed.		
Policy 12 - Working in partnership with parents, questions and answers completed.		
Policy 13 - Safeguarding, whistleblowing and E safety, questions and answers completed.		
Policy 14 - Documentation , questions and answers completed.		

#### Nursery visit show round and discussion noted and filed during pre-start. Including:

Task	Date completed	Signed
On line training completed in Nursery using Educare and NDNA. Safeguarding Level 2 included in NDNA package Food Safety L2 included in Educare package Allergy Awareness membership purchase on NDNA Fire Awareness membership purchase rate on NDNA		
Uniform		
Plan of nursery including fire exits, phone locker and staff area, CCTV awareness		

ate updated: January 2020.	<b>Children</b> First
Capacity and ratios	www.children-first.info
Specialist teachers	
Holiday and TOIL	
Morning tasks	
Evening tasks	
Signing in and time sheets	
Staff meetings	
Supervisions, appraisals and reviews	

### Week Safeguarding

Task	Date completed	Signed
Safeguarding officers		
Safeguarding procedures		
Safeguarding forms		
Contact numbers		
Security including door entry and visitors book.		
Collection procedures		
Confidentiality		
Data protection and privacy		
Whistleblowing		
Suitable person lone access to office and data		
E Safety		
Prevent Duty and British Values		

#### Week 2 - Health and Safety

Task	Date completed	Signed
Health and safety training		
Fire drill		
Accident forms and head bump		

	Firs
Monthly audits	www.children-first.in
First aid kits	
Medication and forms	
Health care plans	
Nappy changing procedures	
Cleaning rotas	
Preexisting injury forms	
Kitchen checks	
Health care plans	

#### Week 3- Eylog, Profiles and Education

Task	Date completed	Signed
Eylog login		
Observations and draft list		
Children's accounts		
Summative reports		
Tracking and cohorts		
Planning		
Key work		
Assessment books		
SEND		
EAL and DUAL		

#### Partnership with parents

Task	Date completed	Signed
Weekly catch up email		
Newsletter		
Blog		
Events/stay and play		
Partnership coordinators		

Date updated: January 2020.

ate updated: January 2020.	<b>Children</b> First
Compliments procedure	www.children-first.info
Open door policy	
Parents evening	
Parent consultations	
Daily reports	
New children	

#### Week 4

Task	Date completed	Signed
First probationary review and PIP.		
Job description review and questions.		
Other discussions or questions about Nursery.		

Date of completion:	
Staff signature:	_
Line Manager signature;	

Section 12 – New Manager Induction and Training Schedule.

Start Date: Name:

The following is to be sent by email to new Managers and Deputy Managers:

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# Staffing Policy - Policy 1 Date updated: January 2020.

Task	Date completed	Signed	Firs www.children-first.ir
Staff contract, job description, new staff forms discussed and filed. References acquired and checked by phone by Co-ordinator or Nursery Director and filed			
On line Government Prevent training completed and filed.			
Policy 1 – Staffing policy, questions and answers completed.			
Policy 2 – Organisation, questions and answers completed.			
Policy 3 - Care, learning and play, questions and answers completed.			
Policy 4 - Physical environment, questions and answers completed.			
Policy 5 - Toys, resources and equipment, questions and answers completed.			
Policy 6 – Safety, questions and answers completed.			
Policy 7 – Health, questions and answers completed.			
Policy 8 – Food, drink and Nutrition, questions and answers completed.			
Policy 9 - Equal opportunities, questions and answers completed.			
Policy 10 - Special needs, questions and answers completed.			
Policy 11 - Behavior management, questions and answers completed.			
Policy 12 - Working in partnership with parents, questions and answers completed.			
Policy 13 - Safeguarding, whistleblowing and E safety, questions and answers completed.			
Policy 14 - Documentation , questions and answers completed.			

#### Nursery visit show round and discussion noted and filed during pre-start. Including:

Task	Date completed	Signed
On line training completed in Nursery using Educare and NDNA. Safeguarding Level 2 included in NDNA package Food Safety L2 included in Educare package Allergy Awareness membership purchase on NDNA Fire Awareness membership purchase rate on NDNA		
Uniform		
On line training completed and certificates filed		
Plan of nursery (Fire exits)		
Nursery routines		
Capacity and ratios		

te updated: January 2020.	<b>Children</b> First
	FIISt
Holiday and TOIL	www.children-first.info
Morning tasks	
Evening tasks	
Signing in and time sheets	
Staff meetings	
Supervisions, appraisals and reviews	

#### Introduction::

Task	Date completed	Signed
Safeguarding officers		
Safeguarding procedures		
Safeguarding forms		
Contact numbers		
Security		
Collection procedures		
Confidentiality		
Data protection		
DBS		
References		
Reporting to Ofsted and/or the LADO		

#### Management tasks:

Task	Date completed	Signed
Management schedule		
Weekly report		
Rota		
Probation review		
Shopping budgets using fiscal responsibility.		
Team meetings		

ate updated: January 2020.	<b>Children</b> First
Managers email account	www.children-first.info
Supervisions	
Performance reviews	
Appraisals	
Holidays and TOIL	
Sickness policy	
SIF	
FSB	
Time sheets	
Birthday lists	
Future occupancy	
Dietary requirements	
Milk returns	
Archiving	
Disciplinary procedures	
SEF	
Evidencing and showcasing	
Action planning	
ENCO	
SCR	
NDNA, Educare, Local Authority training	
Blog	

#### Partnership with parents:

Task	Date completed	Signed
Weekly catch up email		
Newsletter		
Events/stay and play		
Partnership coordinators		

ate updated: January 2020.	<b>Children</b> First
Compliments procedure	www.children-first.info
Open door policy	
Parents evening	
Parent consultations	
Information to be displayed	
Eylog reports	
New children - welcome packs	

#### Financial:

Task	Date completed	Signed
First steps		
Log credits		
Fee's due list		
Invoicing		
Future fee's		
Creating fee's		
Late charges		
Funding		
Payroll		
Cash book		
Petty cash and petty cash records.		
Credit card log, ordering procedure, logging procedure		

#### Health and safety:

Task	Date completed	Signed
Health and safety training		
Fire drill		
Accident forms		
Incident forms		

ate updated: January 2020.	<b>Children</b> First
First aid kits	www.children-first.info
Medication	
Kitchen checks	
Use of electrical equipment	
Health care plans	

#### Eylog, profiles and education

Task	Date completed	Signed
Eylog login		
Observations and draft list		
Children's accounts		
Adding parents		
Summative reports		
Tracking and cohorts		
Planning		
Key work		
Wow books		
Assessment books		
Observation tracker		
SEND		

Task	Date co	mpleted Sign	ned
First probationary review and PIP.			
Job description review and questions.			
Other discussions or questions about Nursery.			
Date of completion:			

Line manager signature:



<u>Probationary review:</u> Staff name: Job title:

Manager conducting review: Date of review:

Length of service: 1 month / 3 month / 6 months / extension (please delete as appropriate)

Review of attendance and punctuality:
Detailed improvements to practice (if required):
Conduct rated as: Inadequate/requires improvement/good/outstanding please delete

€ Probation to be extended for a further

point.

Employee sign:

 <b>Children</b> First

www.cniarer
Detailed improvements to practice (if required):
Conduct rated as: Inadequate/requires improvement/good/outstanding please delete
Review of policy and EYFS compliance:
Review of policy and E113 compilance.
Detailed improvements to practice (if required):
Conduct rated as: Inadequate/requires improvement/good/outstanding please delete
Review of professionalism and partnership with parents:
Detailed improvements to practice (if required):
Conduct rated as: Inadequate/requires improvement/good/outstanding please delete
Review of conduct, interactions and relationships with children:
Review of Conduct, interactions and relationships with children.
Detailed improvements to practice (if required):
Conduct rated as: Inadequate/requires improvement/good/outstanding please delete
6 months' probation meeting only:
C. Staff mamber has passed probation suppossfully on (data):
€ Staff member has passed probation successfully on (date): OR (please delete)

months. Additional review to be completed at this

Manager sign:

Date updated: January 2020.

hildren First

To be completed on the employees first day back after returning from sick leave.

Name:	
Date of first day of absence:	Date of return to work
Total number of days absent: (not including weekend/bank holidays)	
Reason for absence:	
Correct reporting procedure followed? YES	S NO
If no, please detail:	
Appropriate medical certificate required:	YES NO
Additional notes:	
Staff member sign:	
Nursery Manager sign:	
Date:	

Multiple Sclerosis

No

Yes

Date updated: January 2020.



<u>Confidential health</u> <u>questionnaire</u> All information will be treated in confidence and is required to a fitness of a person for working with children.

1. Personal de	tails:					
Surname:		For	rename(s):			
Date of Birth:		Tel	ephone:			
Address:						
Name and ac	Idress of GP:					
		•••••				
2. Occupation	nal history:					
Has your emp	loyment ever b	een termino	ited on the groun	ds of ill healt	h?	
Yes	No					
Approximately	y how many da	ys/weeks sic	ckness absence d	id you have	in the last twelve mon	ths?
On how many	occasions	•••••				
3. Medical his	tory:					
Do you smoke	÷\$:					
Are you currer	ntly taking presc	cribed medi	cine?:			
Are you currer	ntly under the c	are of a do	ctor or other med	ical professio	onal?:	
			uffered from any			
		Lung diseas	•	Stomach, b	_	
Heart problem Yes N		Yes	e No		No	
Jaundice, hep Yes N		Joint proble Yes		Headaches, Yes	-	
Diabetes Yes N		Allergies Yes	No	Stress, severe Yes	e stress No	
Serious accide Yes N		High blood Yes	pressure No	Asthma, lung Yes	g issues No	
Hernia or rupto Yes N		Kidney, blad Yes	dder disorder No	Back, neck ¡ Yes	oroblems No	
Fits, blackouts Yes N		Depression, Yes	anxiety No	Hearing, sigh Yes	nt problems No	
Skin problems Yes N		Surgical ope Yes	erations No	Mobility pro	oblems No	

Special needs, requirements

Yes

Cancer

Yes

No

ate upda	ated: January	2020.				aphylaxi <b>(hildren</b>
Yes	No	Yes	No	Yes	No	First
Cancer Yes	No	Dyslexia Yes	No	Dyspraxio Yes	n No	
			cal conditions requ nents and, or treatr			cation and conditions
•	vide details of all ate sheet if nece	•	al or mental illness	or wellbein	ig concerns in	full below and continue
			tions in section 2 c elevant. Continue o			ous diseases, please give cessary.
Act 1995						e <b>Disability Discrimination</b> what, if any, reasonable
understand	d that if, at a la	ter date, it		I have known	owingly withh	best of my knowledge. I eld medical information,
, 0	ull consent for Ch o has treated me		Nurseries to formally	y request n	nedical inform	nation or history from any
2) I under	stand that I am my fitness for emp	required to				ctitioner or Consultant to could be at the choice of
3) I undei	rstand that at a					to have a full medical doctor nominated by the
4) I declar have know breach of	vingly concealed trust, and that my	l any import employmer		any false ed by the	answers, wou Nursery.	dge. I understand that if I Id be considered a gross

Signed: \_\_\_\_\_ Date: \_\_\_\_

#### 1

### Staffing Policy - Policy 1

Date updated: January 2020.



### **Employee disciplinary log:**

Name of emplo	yee:			
Job title and depo	artment:			
		 1		
Date disciplinary sanction imposed	FSB num link case	Type of sanction*	Date of expiry**	Reason for disciplinary action

#### Guidance notes for managers:

- \* Set out the nature of the disciplinary sanction imposed this could be a written warning, final written warning or dismissal.
- \*\* State the date that the disciplinary sanction will expire a first written warning should, generally, expire after six months and final written warnings after twelve months.
- \*\*\* Explain the reasons why disciplinary action was taken e.g. poor timekeeping or attendance record, failure to follow a reasonable management instruction, etc.

Date updated: January 2020.

Time in lieu must be recorded and countersigned by Nursery Manager. Please not this time will be taken as part days and must be taken on quiet days in agreement with child and adult ratios. It cannot be accrued and then taken as annual leave. Nursery Managers must aim to repay lieu within the same working month. Nursery Manager's time in lieu must be countersigned by the company director.

Date	Reason	From	То	Amount	Countersigned Manager	Date Taken	Manager C/sign	Time left in lieu

Checked Nursery Manager:	Date:	
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Completed TOIL records must be kept in individual staff files for the whole of their employment.

Date updated: January 2020.



This is to be reviewed annually by the Nursery Manager. This should be sent Company Director and used to inform any staff salary reviews.

Staff name	Start date	Total sick days taken	Number of occasions	Total unpaid leave taken, and reasons	Other comments

ate updated: Januar	y 2020.		(hild
Position applied for		Date of application	www.children-
Personal Details		•	
First Name			
Surname			
Full Postal Address			
Home Phone Number		Mobile Number	
Email Address		N I Number	
Right to work in UK			
Do you have the right to v	vork in the UK?	Yes No	
If you are not British or a Ed are you entitled to take up		Yes No	
If you have answered yes:			
Do you hold at least one of documents:	of the following	A UK, European, or Swiss p	passport.
Please note: The nursery is photocopy the original co	• •	A European, or Swiss natic	onal identity card
before you are able to sto		A FULL birth or adoption of which includes the name AND an additional document number and their name agency or previous employers.	of at least one parent, nent giving a permanent e issued by a government

	If you	u have	answered	no
--	--------	--------	----------	----

_ ii / co mare anorrerea ne.		
Do you have a work permit?	Yes No	
If yes, please specify the terms of this permit/visa and expiry date:		
, , , , , , , , , , , , , , , , , , , ,		

website.

If none of the above is provided, a further

extensive list can be found from the Home Office

Date updated: January 2020.

Are you looking for full time or part time work?



What hours are you available to work?

	Monday	Tuesday	Wednesday	Thursday	Friday
A M					
PM					

#### **Education & Qualifications**

Please use the boxes below to tell us about the qualifications you have obtained throughout your secondary education, further education and professional training. Please continue on a separate sheet if required. You will be required to bring original copies of certificates to interview.

Qualification Gained	Grade / Level	Name of School / College / Uni.	Date Achieved	Awarding Body

#### Other Skills

(Please use this space to detail any other skills you have i.e. musical, languages, first aid, safeguarding etc.)

Date updated: January 2020.

Please give full details of all your previous employment. This should include all paid and unpaid employment, work experience and placements. Exact dates of employment must be given. If you have held different posts with the same employer please include these separately. Please indicate reasons for any substantial gaps in employment and full time study. Continue on a separate sheet if necessary. Please start with your present employer.

Name of current or most recent employer:		
Employers address:	Telephone no:	
	Email:	
Job title:		
Start date:	Leave date:	
Main duties:		
Reason for leaving:		
Notice required:	Salary upon leaving:	
Name of previous employer:		
Employers address:	Telephone no:	
	Email:	
Job title:		
Start date:	Leave date:	
Main duties:		
Reason for leaving:		
Name of provious employers		
Name of previous employer:		
Employers address:	Telephone no:	
	Email:	

ງດກ iiiie.	www.childre	n-fi
Start date:	Leave date:	
Main duties:		
Reason for leaving:		
		— 1
Please use this space to detail reasons for any gaps in	your employment history.	
Personal Statement		J

Please give details and examples of the skills and aptitude you have relevant to this post. Continue on a separate sheet if necessary.



#### **References**

Please provide the names of three referees, one of whom must be your current or most recent employer and the others a previous employer or professional acquaintance. They must not be a friend or a member

1. Full name of referee:	FOR OFFICE USE ONLY
Job Title:	REFERENCE 1:
Company Name:	Date Applied for:
Сотрану нате.	Dates Followed up if necessary by
Address:	email and phone:
	Date Obtained:
Telephone Number:	Date Checked for authenticity by
	phone with notes on reference:
Email Address:	Nursery Manager Initials:
Relationship to self:	Notes:
Keramensi np 16 sem	
2. Full name of referee:	FOR OFFICE USE ONLY
Job Title:	REFERENCE 2:
JOD IIIIe.	Date Applied for:
Company Name:	Dates followed up by phone and
Address:	email:
Telephone Number:	Date Obtained:
	Date Checked for authenticity by
Email Address:	phone with notes on the reference:
Relationship to self:	Nursery Manager Initials:
	Notes:
3. Full name of referee:	FOR OFFICE USE ONLY
Lab. Tillian	REFERENCE 3:
Job Title:	Date applied for:
Company Name:	Dates followed up by phone and
Address:	email.
Addiess.	
Telephone Number:	Date obtained:
тегерноне понцен.	Date checked for authenticity by
Email Address:	phone with notes on the reference:

als:

Notes:

· ·	USE ONI	Fire
	REFERENCE 3:	www.children-first.int
Job Title:		
	Date applied for:	
Company Name:	Dates followed up by phone and	
Address:	email.	
, idaloss.		
	Date obtained:	
Telephone Number:		
	Date checked for authenticit	y by
Email Address:	phone with notes on the refer	ence:
Relationship to self:	Nursery Manager Initials:	
	Notes:	